

10/563633

IAP15 Rec'd PCT/PTO 06 JAN 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Masao IENO et al. : **Mail Stop: PCT**  
Serial No. NEW : Attorney Docket No. 2005-2019A  
Filed January 6, 2006 :

SUSTAINED-RELEASE POLYMER FOR  
AMINO ACID DERIVATIVE, COSMETIC  
AND FIBER STRUCTURE CONTAINING  
THE SAME AND METHOD FOR  
MANUFACTURING AND FOR  
REGENERATING THE SAME :  
[Corresponding to PCT/JP2004/010127  
Filed July 15, 2004]

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEE FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975.

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

- ☒ each U.S. Patent and U.S. Patent application publication;
- ☐ each reference previously cited in the international application PCT/\_\_\_\_\_; and/or
- ☐ each reference previously cited in prior parent application Serial No.

1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, **or**

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

**and thus no certification and/or fee is required.**

1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance, or an action which otherwise closes prosecution in the application, and thus:

(1) ☐ the certification of paragraph 2 below is provided, **or**

(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

**the certification of paragraph 2 below is provided, and**

**the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.**

2. It is hereby certified

a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or

b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart

foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.
4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
- a. ☐ a full or partial English language translation submitted herewith,
  - b. ☒ a foreign patent office search report (International Search Report) (in the English language) submitted herewith,
  - c. ☒ the concise explanation contained in the specification of the present application at pages 1-3,
  - d. ☒ the concise explanation set forth in the attached English language abstract,
  - e. ☐ the concise explanation set forth below or on a separate sheet attached to the reference:
5. ☒ A foreign patent office search report (International Search Report) citing one or more of the references is enclosed.
6. ☒ Comments:

The English version of the International Search Report submitted concurrently herewith contains an error in the number of the first WO publication, which in the International Search Report is cited as WO 89/08897. The correct reference is WO 98/08897, as confirmed by the Japanese version of the International Search Report also submitted concurrently herewith.

As apparent from the International Search Report, the US '749, US '009 and US '730 references cited on the attached PTO-1449 form correspond to the JP '375, WO '897 and WO '230 references cited on this form.

Respectfully submitted,

Masao IENO et al.

By:



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January 6, 2006

Sheet 1 of 1

## INFORMATION DISCLOSURE STATEMENT

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.  
2005-2019ASERIAL NO.  
NEW

10/563633

LIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

Date Submitted to PTO: January 6, 2006

APPLICANT  
Masao IENO et al.FILING DATE  
January 6, 2006

GROUP

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/T.S.W./	AA	6,229,009	5/2001	Lambert et al.			
/T.S.W./	AB	2002/0025749	2/2002	Shimizu et al.			
/T.S.W./	AC	6,506,730	1/2003	Lee et al.			
	AD						
	AC						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	AE	2001-072764	3/2001	Japan				
	AG	2 752 843	3/1998	France				
	AH	2001-501228	1/2001	Japan				
/T.S.W./	AI	98/08897	3/1998	WO				
	AJ	08-060547	3/1996	Japan				
	AK	05-295657	11/1995	Japan				
	AL	08-301723	11/1996	Japan				
	AM	2002-013071	1/2002	Japan				
	AN	10-237426	0/1998	Japan				
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	AQ	2003-307344	2/2003	Japan				
/T.S.W./	AR	01/12230	2/2001	WO				

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER

/Tracey Simmons Willis/

DATE CONSIDERED

07/06/2009